

Quality of Quality Assurance. Striving for Highest Standards in ex-post evaluation



Vienna, 6 – 7 October 2008

Third workshop of the ESF Member Forum on Evaluation of Funding Schemes and Research Programmes

# TOPICS / SCOPE

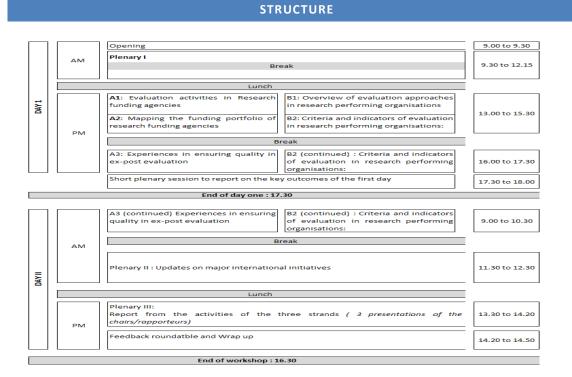
The Workshop will be organized around two Strands:

**Strand A:** Quality of ex-post evaluation studies of funding schemes

**Strand B**: Common European indicators in the evaluation of public research performing organizations

The workshop will be organized in plenary sessions and interactive parallel sessions which will deal with dedicated topics.

The objective is to produce a set of documents which describe the state of art of and reflects the consensus on discussed issues. Those documents could be used in various organizations in planning or organizing their own evaluation activities



# ORGANIZING TEAM

Anke Reinhardt, DFG	Nina Kancewicz-Hoffman, ESF
Rudi Novak, FWF	Isabelle Vonesch, ESF
Gerit Oberraufner, FWF	Alexis-Michel Mugabushaka, ESF

# DRAFT PROGRAMM

#### **OPENING SESSION**

8.3	30 - 9.00	Arrival and Registration
	9.00	Opening and Welcoming - Christoph Kratky, FWF President (tbc)
	9.20	Setting the scene and structure of the workshop – Neil Williams, ESF

#### PLENARY SESSION | 9.30 to 12

45 '	9.30	Quality assurance in evaluation – standards and practices – Klaus Zinöcker,
		Plattform and Vienna Science and Technology Fund (WWTF, Austria)
	10.15	Break
45 '	10.45	The study process of the evaluation / review reports at the US National Academies – Michael Moloney, US National Academy of Sciences
45 '	11.30	Peer Review: Principles of Good Practice - ESF MO Forum on Peer Review- <b>Patricia Vogel, NWO;</b>

# PLENARY SESSION II : Update on major international initiatives

30′	11.30	Use of indicators in the evaluation of the EC Framework programme – Peter Fisch
30'	12.00	The ERC Monitoring, Assessment and Evaluation Strategy: the Framework and experiences with the first call for evaluation studies (working title) – Anne Mallaband, ERC
30'	12.30	Key outcome of the 10 <sup>th</sup> International Conference on Science and Technology Indicators – Rudi Novak, FWF

### PLENARY SESSION III : Reports from the sessions of the two Strands by the Chairs

20′	13.30	Strand A : Rapporteur Jürgen Güdler
20'	13.50	Strand B : Rapporteur : Michel Dodet
30'	14.10	Feedback roundtable and Wrap up

### PARALLELL SESSIONS : STRAND A

<ul> <li>Based on the presentations of the 1<sup>st</sup> and 2<sup>nd</sup> workshop of the forum, this sess will present an overview of the various approaches to evaluation in difference organizations. The outcome will be a document recording the wide spectrum of the evaluat activities in research funding agencies and showing similarities on which fur cooperation can be based on. The document can be used by evaluation of field looking for organizations which are engaged in the type of evaluation of here interest. This session will also help clarifying the concepts and levels evaluations.</li> <li>A2 Mapping the funding portfolio of research funding agencies Chair: Frank Bingen, FNR Luxembourg In the previous workshops of the forum, the ideas "benchmarking results of post evaluations and "jointly conducting or synchronizing ex-evaluations" voften discussed as means to enhance the quality of own evaluation process. A perquisite for such an exercise is an understanding of how comparable funding schemes that one wishes to evaluate are (in terms of objectives "intervention logic and targets groups). In this session a mapping exercise of funding schemes of selected rese funding agencies will be presented and discussed.</li> </ul>	A1	···· ··· ··· ··· ··· ··· ··· ··· ··· ·
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MO Forum on Peer Review - Nina Kancewicz-Hoffman, ESF		

# PARALLELL SESSIONS : STRAND B Chair : Michel Dodet, INRA, France

# A meeting of the Working Group on Common European Indicators in European research Performing Organizations agreed that the Strand B will be structured in three sessions

Session B1	Introducing the Strand B: Challenges for the production of indicators: an introduction – by By Michel Dodet, INRA Criteria and Indicators to evaluate "Internationalization /Embedment in the international collaborations" of research performing organizations
	Chair: Sarah Chen, CNR Italy Introductory note: Emanuela Reale, CNR-CERIS, Italy
Session B2	Criteria and Indicators to evaluate the innovation capacity of research performing organizations Chair: Valerio Vercesi, INFN, Italy Introductory note: Emanuela Reale, CNR-CERIS, Italy
Session B3	On the experience of the UK RCs in assessing innovation & societal impact of research funding - Rebecca Steliarios, EPSRC, UK Criteria and Indicators to evaluate the innovation capacity of research
3622101 D2	Chair: Michel Dodet, INRA, France This session will discuss the results of the two previous sessions with the view of choosing one of the topics for the benchmarking exercise. The session will also discuss how this should be done (terms of references, how to collect the data