ESF Forum on Evaluation of Funding Schemes and Research Programmes

Final Conclusions and Future Perspectives

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Member Organisations Relations

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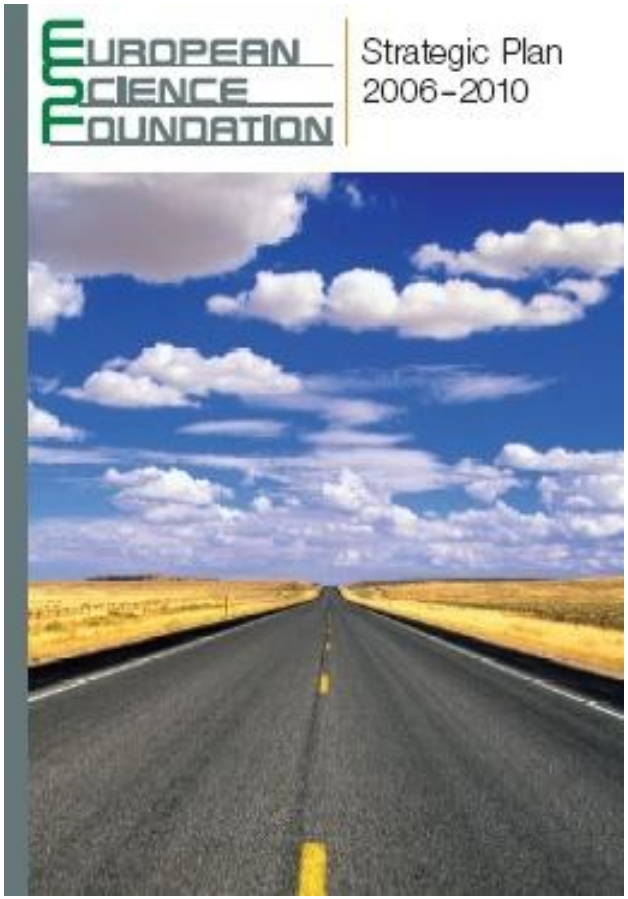
Outline of the presentation

- ✓ What are the ESF Member Organisation Fora ?
- ✓ Forum on Evaluation of funding schemes and research programmes
- ✓ New Forum on
  New Forum on

ESF Member FORA: Rationale



"ESF Member Organisation FORUM" was developed in response to clearly expressed needs from MOs in consultations on the Strategic Plan 2006-2010

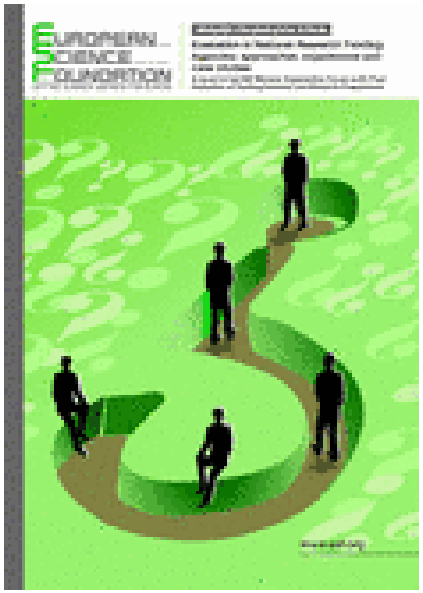
"MO Fora is an output-oriented, issue-related venues for MOs, involving others as appropriate, (to exchange information and experiences and develop joint actions in science policy".

"ESF aims to be the actor of choice and preferred partner when national research organisations develop joint activities."

Current Mo Fora

Promoting Internationalisation of Social Sciences in Central and Eastern Europe (completed)	Launched June 2006 – completed December 2008
Peer Review (extended)	Launched October 2006 – extended until December 2011
Evaluation of Funding Schemes and Research Programmes (finalised)	Launched October 2007 – completed December 2009
Research Careers (extended)	Launched November 2007 –extended until April 2010
Research Integrity	Launched November 2008 – to December 2010
Medium-Sized Research Infrastructures	To be Launched January 2010 – to 2011
Science in Society Relationships (new)	To be Launched January 2010 – November 2012
Evaluation of Publicly Funded Research (new)	To be Launched April 2010 - January 2012
Evaluation: Indicators of Internationalisation (new)	November 2009 – November 2011

MO FORUM on Evaluation of funding schemes and research programmes



Focus:

"Post-Grant" Evaluation i.e. if the funding schemes or the research programmes achieve their stated aims.

Objectives

- ❑ exchange and document experiences with current practices
- ❑ facilitate networking of science officers engaged in evaluation
- ❑ explore needs and possibilities for collaboration in future evaluation exercises.

Participation

38 Organisations (+ observers)

Action Items

1. Mapping study on evaluation of funding schemes –finalised
2. A pilot study on international benchmarks – will continue in a new forum

Final Outcome

1. Report: *Evaluation in National Research Funding Agencies: approaches, experiences and case studies*

Activities developed

1st Workshop

Evaluation of Funding Schemes and Research Programmes: Expectations, Practices and Experiences. Berlin, 22 and 23 October 2007 (hosted by DFG)

2nd Workshop

Quantitative Indicators in ex-post Evaluation of Funding Schemes and Research Programmes: Case studies and Rationales Rome 31 March – 01 April 2008 (hosted by INFN)

3rd Workshop

Quality of Quality Assurance. Striving for Highest Standards in ex-post Evaluation. Vienna, 6 – 7 October 2008 (hosted by FWF)

4rd Workshop

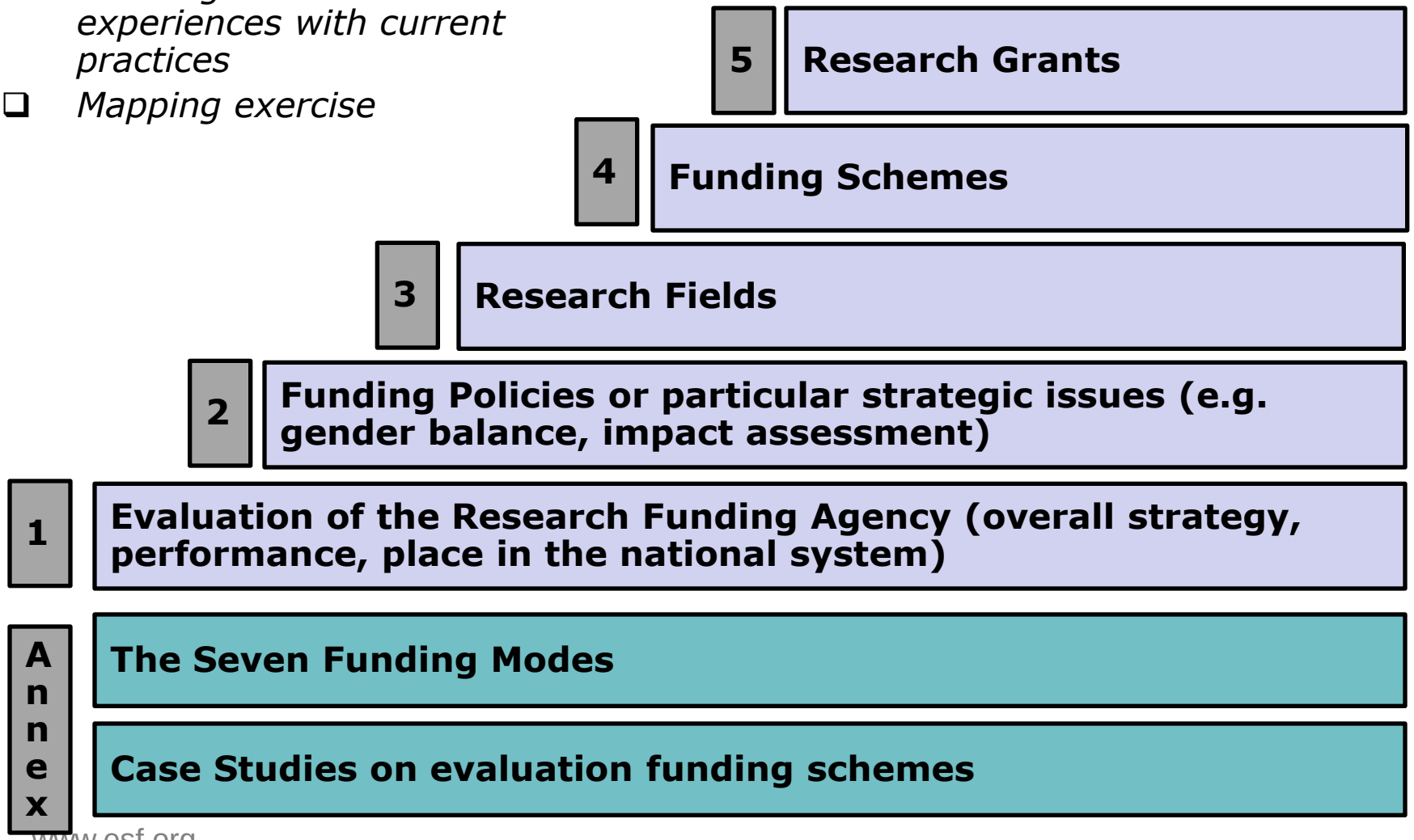
Evaluating socio-economic impact of research funding schemes. Budapest, 27 – 28 April 2009 (hosted by OTKA)

5th Workshop

Evaluation Strategies and Comparative Evaluation Techniques. Dublin, 16 – 17 November 2009(hosted by HRB and SFI)

Report: Evaluation in research funding agencies Approaches and cases studies

- *Exchange and document experiences with current practices*
- *Mapping exercise*



MO Forum Structure

Strand A:

Quality of ex-post evaluation studies of funding schemes

Strand B:

Common European indicators in the evaluation of public research performing organizations

Future Perspectives

- The Forum has been exploring the needs and possibilities for collaboration in future evaluation exercises lead to two MO Fora proposals
- Alignment with EUROHORCs ESF Road Map:

  Chapter 6. Develop common approaches to ex-post evaluation of funding schemes and research programmes
- **ESF Governing Council** approved both proposals:

Evaluation of Publicly Funded Research

- Towards good practices and joint evaluation exercises

Indicators of Internationalisation

- design a pilot study on "indicators" used to assess the "internationalization" in research performing organisations

MO Forum on Evaluation of Publicly Funded Research

Proposing Member Organisations

DFG, Germany; HRB, Ireland; NOW, Netherlands; FWF, Austria; FNR, Luxembourg; The Research Council of Norway; Swedish Research Council; Hungarian Scientific Research Fund.

Aims and Objectives:

- to exchange and document experiences with current practices
- to facilitate networking
- to regularly update, elaborate and disseminate the report on evaluation
- to explore possibilities for collaboration in future evaluation exercises
- to identify best practice examples in research evaluation that could possibly lead to a Guideline for Evaluation Processes

Outputs:

- a collection of recent evaluation studies, e.g. an web-based repository
- a final report on the activities and results of the MO Forum
- if possible: coordination of common activities, e.g. comparative evaluative study

MO Forum on Indicators of Internationalisation

Proposing Member Organisations

CNR, Italy; INFN; Italy; KNAW, The Netherlands; INRA, France; FAS, Sweden.

Aim

The pilot study aims to design and to produce a set of indicators that could account for the internationalisation of European research activities and programmes and be useful for Member Organisations themselves and in their relationships with the European Commission as well as their governments (for benchmarking and policy evaluation).

Objectives and Actions

STEP A: A review of current policies and practices in the MOs with respect to the internationalisation in view of the ERA ambitions.

STEP B: A review ('best practices') on indicators.

STEP C: Selection of a set of possible indicators and operational recommendations to develop and maintain them.

STEP D: Test phase. One or more indicators will be tested in a number of selected Member Organisations and at the European level.

Deliverable: Final report including test results and a vision on internationalisation in view of the ERA / Ljubljana ambitions.

Structure of the Workshop

Plenary sessions themes:

- Good Practice for devising and implementing Evaluation Strategies
- Comparative Evaluation Techniques

Break out sessions on the new MO Fora:

Strand A:

Good Practice on ex-post evaluation studies of funding schemes

Strand B:

Common European indicators in the evaluation of public research performing organisations

1. How should the report be disseminated?
2. How should it be updated?

3. What are potential future topics?
4. What are potential future projects?
5. How should we organise our work?